### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

# **SCHEDULE 14A INFORMATION**

Proxy Statement Pursuant to Section 14(a) of the Securities Exchange Act of 1934 (Amendment No. )

Filed by the Registrant 🖾

Filed by a party other than the Registrant  $\Box$ 

Check the appropriate box:

Preliminary Proxy Statement
Confidential, for Use of the Co

- Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2))
- □ Definitive Proxy Statement
- Definitive Additional Materials
- □ Soliciting Material under §240.14a-12

# **ROCKET PHARMACEUTICALS, INC.**

(Name of Registrant as Specified In Its Charter)

#### (Name of Person(s) Filing Proxy Statement, if other than the Registrant)

Payment of Filing Fee (Check the appropriate box):

- ⊠ No fee required
- □ Fee paid previously with preliminary materials.
- Fee computed on table in exhibit required by Item 25(b) per Exchange Act Rules 14a-6(i)(1) and 0-11.



#### **ROCKET PHARMACEUTICALS, INC.**

2023 Annual Meeting Vote by June 21, 2023 11:59 PM ET



ROCKET PHARMACEUTICALS, INC. 9 CEDARBROOK DRIVE CRANBURY, NJ 08512

V16165-P91621

## You invested in ROCKET PHARMACEUTICALS, INC. and it's time to vote!

You have the right to vote on proposals being presented at the Annual Meeting. This is an important notice regarding the availability of proxy material for the stockholder meeting to be held on June 22, 2023.

#### Get informed before you vote

View the Notice and Proxy Statement and Form 10-K online OR you can receive a free paper or email copy of the material(s) by requesting prior to June 8, 2023. If you would like to request a copy of the material(s) for this and/or future stockholder meetings, you may (1) visit www.ProxyVote.com, (2) call 1-800-579-1639 or (3) send an email to sendmaterial@proxyvote.com. If sending an email, please include your control number (indicated below) in the subject line. Unless requested, you will not otherwise receive a paper or email copy.

For comple	ete information and Control #	d to vote, visi	t www.ProxyVote.com
Smartphor Point your came vote without control ne	era here and entering a	Virtually at: www.virtualsh	Vote Virtually at the Meeting* June 22, 2023 9:00 a.m., Eastern Time areholdermeeting.com/RCKT2023

\*Please check the meeting materials for any special requirements for meeting attendance.

## THIS IS NOT A VOTABLE BALLOT

This is an overview of the proposals being presented at the upcoming stockholder meeting. Please follow the instructions on the reverse side to vote these important matters.

Voting Items	Board Recommends
1. Election of Directors	
Nominees:	
1a. Elisabeth Björk, M.D., Ph.D.	Ser 🖉 For
1b. Carsten Boess	Ser 🕑 For
1c. Pedro Granadillo	Ser 🕑 For
1d. Gotham Makker, M.D.	Ser 🕑 For
1e. Fady Malik, M.D., Ph.D.	Ser 🕑 For
1f. Gaurav Shah, M.D.	Ser 🕑 For
1g. David P. Southwell	Ser 🕑 For
1h. Roderick Wong, M.D.	Ser 🕑 For
1i. Naveen Yalamanchi, M.D.	Ser 🕑 For
2. Ratification of the appointment of EisnerAmper LLP as the Company's independent registered pu for the fiscal year ending December 31, 2023.	Iblic accounting firm
3. Approval of the compensation of the Company's named executive officers, on a non-binding, adv	<i>v</i> isory basis. <b>Sec</b>
NOTE: To transact such other business as may properly come before the meeting or any adjournment	thereof

NOTE: To transact such other business as may properly come before the meeting or any adjournment thereof.

Prefer to receive an email instead? While voting on www.ProxyVote.com, be sure to click "Delivery Settings".

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