FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	OMB APPROVAL									
	OMB Number:	3235-0287								
	Estimated average burden									
- 1		0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Wilson Martin							2. Issuer Name and Ticker or Trading Symbol ROCKET PHARMACEUTICALS, INC. [ RCKT]							Relationship of Reporting Person(s) to Issuer (Check all applicable)     Director					
(Last) (First) (Middle) C/O ROCKET PHARMACEUTICALS, INC.						3. Date of Earliest Transaction (Month/Day/Year) 05/14/2024								X Officer (give title Other (specific below)  General Counsel					
9 CEDARBROOK DRIVE							4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) CRANBURY NJ 08512															X Form filed by One Reporting Person  Form filed by More than One Reporting  Person				
(City) (State) (Zip)						Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.													
		Tab	le I - No	n-Deriv	vative	e Se	curit	ies Ac	quired	, Dis	sposed o	of, or B	eneficial	ly Owned	I				
1. Title of Security (Instr. 3)  2. Transa Date (Month/D						Execution Da		ion Date,	Code (II		4. Securities Acquired (A) Disposed Of (D) (Instr. 3,			Benefici Owned I	es ally Following	6. Owners Form: Dire (D) or Indi (I) (Instr. 4	ect i	7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount	(A) (D)	Price	Reporte Transac (Instr. 3	tion(s)			(Instr. 4)				
Common	4/2024	2024		М		2,420	) A	\$0	21	,100	D								
Common Stock <sup>(2)(3)</sup> 08/12						2022		J <sup>(2)</sup>		28,76	3 A	\$0	49	,863	D				
Common Stock <sup>(1)(2)</sup> 02/14/						2023		J <sup>(2)</sup>		16,94	7 A	\$0	66	,810	D				
Common Stock 05/16/					6/2024	2024		S <sup>(4)</sup>		1,048	3 D	\$23.3	65	,762	D				
		-	Table II -								osed of converti			Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	ercise (Month/Day/Year) of ative		Date,	4. Transa Code (I 8)		n of		6. Date Exercisa Expiration Date (Month/Day/Yea		te	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Owner Form: y Direct or Indi (I) (Ins	: ct (D) direct	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Title	Amount or Number of Shares						
Restricted Stock Units <sup>(1)</sup>	(5)	05/14/2024			M			2,420	(1)		(1)	Common Stock	2,420	\$0	16,947		D		
Restricted Stock Units <sup>(2)(3)</sup>	(5)	08/12/2022			J <sup>(2)</sup>			28,763	(3)		(3)	Common Stock	28,763	\$0	0		D		
Restricted	(5)	02/14/2022			-(2)			16047	(1)		(1)	Commo	16.047						

## **Explanation of Responses:**

Units<sup>(1)(2)</sup>

- 1. Represents RSUs initially granted on February 14, 2023. One-third (1/3) of such RSUs vest upon the one-year anniversary of the grant, with the remaining shares vesting in equal quarterly installments over the following two years.
- 2. Represents Restricted Stock Units ("RSUs") that are settled in shares of common stock on a one-for-one basis upon vesting. These RSUs were initially reported on Table II and, in accordance with SEC guidance, are now being reported on Table I. This Form 4 updates the filing history of the Reporting Person to include such RSUs on Table I and does not reflect the award of new RSUs.
- 3. Represents RSUs initially granted on August 12, 2022, which vest in full on August 12, 2025.
- 4. The shares of common stock were sold by the Reporting Person in order to pay tax withholding obligations in connection with the vesting of RSUs.
- 5. Each RSU represents a contingent right to receive one share of the Issuer's common stock.

05/20/2024 \*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.