## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### **SCHEDULE 14A INFORMATION**

Proxy Statement Pursuant to Section 14(a) of the

Securities Exchange Act of 1934 (Amendment No.)

Filed by the Registrant  $\square$  Filed by a party other than the Registrant  $\square$ 

Check the appropriate box:

□ Preliminary Proxy Statement

□ Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2))

□ Definitive Proxy Statement

☑ Definitive Additional Materials

□ Soliciting Material under §240.14a-12

#### ROCKET PHARMACEUTICALS, INC. (Name of Registrant as Specified In Its Charter)

(Name of Person(s) Filing Proxy Statement, if other than the Registrant)

Payment of Filing Fee (Check the appropriate box):

 $\boxtimes$  No fee required.

□ Fee computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11.

- (1) Title of each class of securities to which transaction applies:
- (2) Aggregate number of securities to which transaction applies:
- (3) Per unit price or other underlying value of transaction computed pursuant to Exchange Act Rule 0-11 (set forth the amount on which the filing fee is calculated and state how it was determined):
- (4) Proposed maximum aggregate value of transaction:
- (5) Total fee paid:

□ Fee paid previously with preliminary materials.

- □ Check box if any part of the fee is offset as provided by Exchange Act Rule 0-11(a)(2) and identify the filing for which the offsetting fee was paid previously. Identify the previous filing by registration statement number, or the Form or Schedule and the date of its filing.
  - (1) Amount Previously Paid:
  - (2) Form, Schedule or Registration Statement No.:
  - (3) Filing Party:
  - (4) Date Filed:



#### **ROCKET PHARMACEUTICALS, INC.**

2021 Annual Meeting Vote by June 13, 2021 11:59 PM ET



ROCKET PHARMACEUTICALS, INC. 9 CEDARBROOK DRIVE CRANBURY, NJ 08512

D51452-P54568

### You invested in ROCKET PHARMACEUTICALS, INC. and it's time to vote!

You have the right to vote on proposals being presented at the Annual Meeting. This is an important notice regarding the availability of proxy material for the stockholder meeting to be held on June 14, 2021.

#### Get informed before you vote

View the Notice and Proxy Statement and Form 10-K online OR you can receive a free paper or email copy of the material(s) by requesting prior to May 31, 2021. If you would like to request a copy of the material(s) for this and/or future stockholder meetings, you may (1) visit www.ProxyVote.com, (2) call 1-800-579-1639 or (3) send an email to sendmaterial@proxyvote.com. If sending an email, please include your control number (indicated below) in the subject line. Unless requested, you will not otherwise receive a paper or email copy.

For complete informatio	n and to vote, visit <b>www.ProxyVote.com</b> #
Smartphone users Point your camera here and vote without entering a control number	Vote Virtually at the Meeting* June 14, 2021 9:00 a.m., Eastern Time Virtually at: www.virtualshareholdermeeting.com/RCKT2021

\*Please check the meeting materials for any special requirements for meeting attendance.

# THIS IS NOT A VOTABLE BALLOT

This is an overview of the proposals being presented at the upcoming stockholder meeting. Please follow the instructions on the reverse side to vote these important matters.

Vot	ing Items	Board Recommends
1.	Election of Directors	
	Nominees:	
1a.	Roderick Wong	🛛 For
1b.	Carsten Boess	Sor 📀
1c.	Pedro Granadillo	Ser 🕑 For
1d.	Gotham Makker	Ser For
1e.	Gaurav Shah	Ser 🕑 For
1f.	David P. Southwell	Ser 🕑 For
1g.	Naveen Yalamanchi	Ser 🕑 For
1h.	Elisabeth Björk	SFor
2.	Ratification of the appointment of EisnerAmper LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2021.	Sor 📀
3.	Approval of the compensation of the Company's named executive officers, on a non-binding, advisory basis.	Sor 🕑 For
4.	Non-binding advisory approval of the frequency of future stockholder advisory votes on the compensation of the Company's named executive officers as being held every year, every two years or every three years.	1 Year
NO	TE: To transact such other husiness as may preparly some before the meeting or any adjournment thereof	

NOTE: To transact such other business as may properly come before the meeting or any adjournment thereof.

Prefer to receive an email instead? While voting on www.ProxyVote.com, be sure to click "Sign up for E-delivery".

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