FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, I	D.C. 20549
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*					2. Issuer Name and Ticker or Trading Symbol ROCKET PHARMACEUTICALS, INC.								Relationship of Reporting Person(s) to Issuer     (Check all applicable)						
Shah Gaurav						RCKT ]								X Director			10% Owner		vner
(Last)	(Fi	rst) (M	Middle)		_ [Kell ]									X	Office	er (give title v)	Other (specify below)		specify
C/O ROCKET PHARMACEUTICALS, INC.						3. Date of Earliest Transaction (Month/Day/Year) 02/17/2023								CEO					
9 CEDARBROOK DRIVE				02/1	02/11/2023														
					4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable					
(Street)	LIDAZ NII		0513											ine) X	Form	filed by One	e Reporti	ng Perso	on
CRANBURY NJ 08512													Form filed by More than One Reporting Person					orting	
(City)	(St	ate) (Ž	Zip)												Perso	וונ			
		Table	I - No	on-Deriva	tive S	Secui	rities	Acc	quirec	l, Dis	posed of	, or E	enefic	ially	Own	ed			
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/					Execution Date,		·				s Acquired (A) of (D) (Instr. 3, 4		and 5) Securi Benefi Owned		cially I Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount	(A) or (D)	Price		Repor Transa (Instr.	ted action(s) 3 and 4)			(Instr. 4)
Common Stock 02/17/20					023				<b>S</b> <sup>(1)</sup>		12,194	D	\$19.0	9.06(2)		536,885			
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	erivative Conversion Date Execution Date curity or Exercise (Month/Day/Year) if any			tion Date,	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expira	e Exerc ation D h/Day/		7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)				9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownersi Form: Direct (I or Indire (I) (Instr	nership m: ect (D) ndirect	Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exerc	isable	Expiration Date	Title	Amount or Number of Shares						

## **Explanation of Responses:**

- 1. The sales reported on this Form 4 represent shares sold by the Reporting Person to cover tax withholding obligations in connection with the vesting of Restricted Stock Units (RSUs) received by the Reporting Person.
- 2. This transaction was executed in multiple brokered trades at prices ranging from \$18.735 to \$19.195. The price reported above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the Issuer or a security holder of the Issuer full information regarding the number of shares and prices at which the transaction was effected.

/s/ Martin Wilson, as attorneyin-fact for Gaurav Shah

02/22/2023

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.